



PATENT
Docket No. 110.0113 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James B. McCarthy, et al.) Group Art Unit: 1653
Serial No.: 09/600,432) Examiner: D. Lukton
Filed: October 2, 2000) Int'l Filing Date: January 21, 1999
For: PEPTIDES WITH $\beta 1$ INTEGRIN SUBUNIT DEPENDENT CELL ADHESION
MODULATING ACTIVITY

REFUND REQUEST

Assistant Commissioner for Patents
Washington, D.C. 20231

On July 19, 2000, a fee of \$1210.00 was charged to our Deposit Account No. 3-4895 (See copy of deposit account statement dated July 31, 2000, attached). For the following reasons, we believe that this fee was erroneously charged to our deposit account.

The above-referenced patent application was filed with the U.S. Patent Office on July 14, 2000, together with a check in the amount of \$1102.00 for payment of the application filing fee (See copy of return receipt postcard, attached). To the best of our knowledge, no additional fees were due at the time of filing. Furthermore, the fee code attached to the charge was 141: Fee for petition to revive application. As the application was just filed, a petition fee for revival of the application was certainly not needed or appropriate.

Applicants respectfully request that the amount of \$1210.00 be refunded. If there are any

Application Date: 10/15/2001 RECEIVED
07/19/2000 EXAMINER: 00000688 134495 09600432
11 SEP 141 1210.00 CR

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questions concerning this request, please do not hesitate to telephone the undersigned attorney at (612) 305-1217.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 19 day of September, 2001.

Ann M. Muetting
Ann M. Muetting

Date
AMM/mi

Sept. 19, 2001

Respectfully submitted,
James B. McCarthy, et al.
By their Representatives,
Muetting, Raasch & Gebhardt, P.A.
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By:

Ann M. Muetting
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***** PROSECUTION HISTORY *****

SC/SN: 09/600432 DOCK DATE:
 FILD: 10 / 02 / -00 12 / 23 / 00
 PATNO:
 ISSDT: 00 / 00 / 00
 APPL : MCCARTHY ET AL

CONTENTS:

022 CRFE T 07/23/01
 021 FWDX E 06/29/01
 020 ACRF I 06/19/01
 019 XT/G I 06/19/01
 018 CRFL T 06/29/01
 017 MAIL O 04/19/01
 016 CRFR O 04/18/01
 015 A.PE I 10/02/00
 014 DOCK D 12/23/00
 013 OIPE O 12/01/00
 012 SCAN E 11/28/00
 011 C.AD O 11/17/00
 010 RTAD O 11/03/00
 009 M903 O 11/06/00
 008 DKTD O 10/20/00
 007 A371 I 10/02/00
 006 M905 O 08/02/00
 005 DKTD O 07/31/00
 004 DKTD O 07/25/00
 003 R371 O 07/14/00
 002 C.AD O 07/25/00

PETITIONS HISTORY

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MONTHLY STATEMENT
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| Page | 1 |

MUETING RAASCH GEBHARDT & SCHWAPPACH P A FINA
ACCOUNTING
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MINNEAPOLIS MN 55458-1415

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| DATE POSTED | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
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*** OR INDICATES OVERDRAWN

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): James B. McCarthy, et al.
Serial No.: Unknown
Filed: Concurrently Herewith
Title: PEPTIDES WITH β 1 INTEGRIN SUBUNIT DEPENDENT CELL ADHESION MODULATING ACTIVITY

Enclosed: Transmittal Letter to the United States DO/EO/US Concerning a Filing Under USC 371 (2 signed copies, 2 pp. ea.); Preliminary Amendment (2 pp.);
\$1102.00 to pay the filing fee, and transmittal document.

Express Mail Label No. EL542401167US
Date deposited: July 14, 2000



Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): James B. McCarthy, et al.
Serial No.: Unknown
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09/600432

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Atty Docket No. 110.0113 0101
AMM/mi

470 Rec'd PCT/PTO 1 4 JUL 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James B. McCarthy, et al.

Group Art Unit: 1653

Serial No.: 09/500,432

Examiner: D. Lukton

Filed: October 2, 2000 (Int'l Filing Date: Jan. 21, 1999)

Docket No.: 110.01130101

Title: PEPTIDES WITH $\beta 1$ INTEGRIN SUBUNIT-DEPENDENT CELL ADHESION MODULATING ACTIVITY

Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ A return postcard.
☐ A Petition for Extension of Time for __ month(s) and a check in the amount of \$ __ for the required fee.
☐ An Information Disclosure Statement (__ pgs); copies of __ applications; 1449 forms (__ pgs); and copies of __ documents cited on the 1449 forms.
☒ Request for Refund (2 pgs); Copy of Monthly Statement of Deposit Account; Copy of date-stamped return postcard.
☐ Amendment __ No Additional fee is required. __ The fee has been calculated as shown:

| Fee Calculation for Claims Pending After Amendment | | | | | |
|---|------------------------------------|-----------------------------|-----------------------------------|---------------------------|--------------------------|
| | Pending Claims after Amendment (1) | Claims Paid for Earlier (2) | Number of Additional Claims (1-2) | Cost per Additional Claim | Additional Fees Required |
| Total Claims | | | | x \$18 = | |
| Independent Claims | | | | x \$80 = | |
| One or More New Multiple Dependent Claims Presented? If Yes, Add \$270 Here → | | | | | |
| Total Additional Claim Fees Required | | | | | |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 19 day of September, 2001.

MUETING, RAASCH & GEBHARDT, P.A.
Customer Number: 26813

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Direct Dial: 612-305-1217
Facsimile: 612-305-1228

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)

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